



Aalto University  
School of Electrical  
Engineering

# Performance-Energy Trade-off in Queueing Systems with Setup Delay

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# Co-operation with

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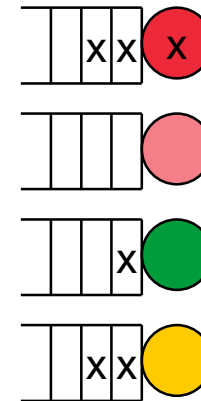
# Model

- In this talk, we focus on a single energy-aware server
- **M/G/1 queue:**  
Jobs arrive according to a Poisson process with rate  $\lambda$  and service times  $S$  are generally distributed and i.i.d.

$$\rho = \lambda E[S] < 1$$

- Setup delays  $D$  are generally distributed and i.i.d.
- Four energy states with power consumption denoted by  $P_{\text{state}}$

BUSY / IDLE / SLEEP / SETUP

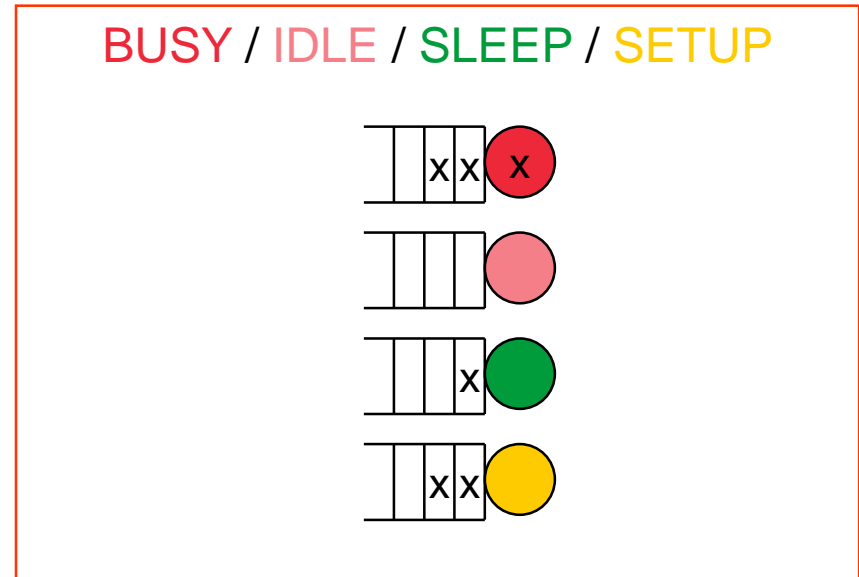


- **Assumption:**

$$0 \leq P_{\text{sleep}} < P_{\text{idle}} < P_{\text{setup}} \leq P_{\text{busy}}$$

# Performance-energy trade-off

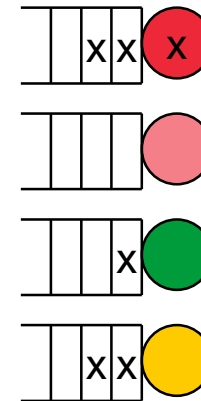
- Energy saved by switching the server off when idle
- However, performance impaired if switching the server back on takes some time (setup delay)



# Optimal control problem

- Two possible control actions:
  - When **IDLE**,  
how long an interval  $l$   
the server should wait for  
new jobs before switched off?
  - When **SLEEP**,  
how many new jobs  $k$   
the server should wait for  
before switched on?

**BUSY** / **IDLE** / **SLEEP** / **SETUP**



- **Objective:**  
Choose optimal  
timer  $l$  and threshold  $k$

- **Definition:**
  - **INSTANTOFF** ( $l = 0$ )
  - **NEVEROFF** ( $l = \infty$ )
  - **DELAYEDOFF** ( $0 < l < \infty$ )

# Performance and energy measures

- Performance:

$E[T]$  = mean response time  
per job

$E[X] = \lambda \cdot E[T]$   
= mean number  
of jobs

- Energy:

$E[E]$  = mean energy  
per job

$E[P] = \lambda \cdot E[E]$   
= mean power  
consumption

# Objective functions

- **ERWS** (Energy-Response-time-Weighted-Sum):

$$w_1 E[T] + w_2 E[P]$$

e.g. [Wierman & al. \(2009\)](#)

- **General form:**

$$w_1 E[T]^{\alpha_1} E[P]^{\beta_1} + w_2 E[T]^{\alpha_2} E[P]^{\beta_2}$$

[Maccio & Down \(2013\)](#)

- **ERP** (Energy-Response-time-Product):

$$E[T]E[P]$$

e.g. [Gandhi & al. \(2010\)](#)

- **Generalized ERP:**

$$w E[T]^{\alpha} E[P]^{\beta}, \quad \alpha, \beta \geq 0$$

[Gebrehiwot & al. \(2014\)](#)

# Energy-aware M/G/1-FIFO queue



# Optimal $\lambda$ -control for the FIFO discipline

- **Theorem 1:**  
Maccio & Down (2013)  
For **ERWS**  
with exponential  
service times and setup delays,  
the optimal policy is either  
**NEVEROFF** or **INSTANTOFF**

- **Theorem 2:**  
Gebrehiwot et al. (2014)  
For **ERWS** and **generaliz. ERP**  
with generally distributed  
service times and setup delays,  
the optimal policy is either  
**NEVEROFF** or **INSTANTOFF**

- **Observation:**  
**NEVEROFF** is better  
if  $P_{\text{idle}}$  is sufficiently small  
compared to  $P_{\text{setup}}$

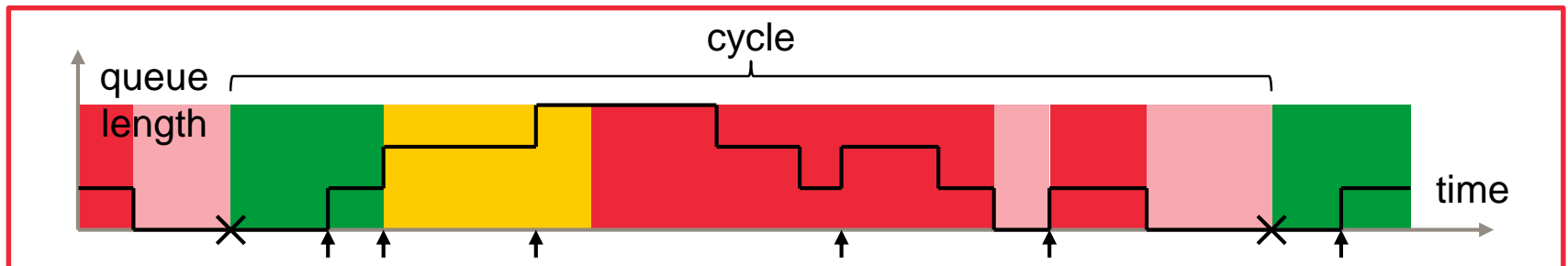
- **Counter-example:**  
Gebrehiwot et al. (2014)  
For the **general form objective**  
the result is not necessarily true

# Proof of Theorem 2

Theorem 2:  
Gebrehiwot et al. (2014)  
For ERWS and generalized ERP  
with generally distributed  
service times and setup delays,  
the optimal policy is either  
NEVEROFF or INSTANTOFF

- Analysis (1)
  - Consider working **cycles** starting whenever the server is switched off
  - Let **C** denote the length of one cycle

$$E[C] = \frac{E[I] + \frac{k}{\lambda} + E[D]}{1 - \rho}$$



# Proof of Theorem 2

Theorem 2:  
Gebrehiwot et al. (2014)  
For ERWS and generalized ERP  
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service times and setup delays,  
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- Analysis (2)

$$E[T] = E[T_{M/G/1-FIFO}] + \frac{1}{E[I] + \frac{k}{\lambda} + E[D]} \left( \frac{k(k-1)}{2\lambda^2} + \frac{k}{\lambda} E[D] + \frac{1}{2} E[D^2] \right)$$

$$E[P] = E[P_{M/G/1}] + \frac{1-\rho}{E[I] + \frac{k}{\lambda} + E[D]} \left( \frac{k}{\lambda} (P_{\text{sleep}} - P_{\text{idle}}) + E[D] (P_{\text{setup}} - P_{\text{idle}}) \right)$$

– distribution of  $I$  is irrelevant when the mean value  $E[I]$  is fixed

# Proof of Theorem 2

Theorem 2:  
Gebrehiwot et al. (2014)  
For ERWS and generalized ERP  
with generally distributed  
service times and setup delays,  
the optimal policy is either  
NEVEROFF or INSTANTOFF

- Optimization (1)

$$E[T] = a_1 + \frac{b_1}{E[I]+c}$$

$$E[P] = a_2 + \frac{b_2}{E[I]+c}$$

- where  $a_1, a_2, b_1, c > 0$ , but  $b_2$  may be negative since  $P_{\text{sleep}} < P_{\text{idle}}$

$$b_2 = (1 - \rho) \left( \frac{k}{\lambda} (P_{\text{sleep}} - P_{\text{idle}}) + E[D] (P_{\text{setup}} - P_{\text{idle}}) \right)$$

- NEVEROFF is always optimal if  $b_2 > 0$

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- Optimization (2)
  - objective function for ERWS is clearly monotonic w.r.t.  $E[I]$

$$w_1 E[T] + w_2 E[P] = w_1 a_1 + w_2 a_2 + \frac{w_1 b_1 + w_2 b_2}{E[I] + c}$$

- objective function for generalized ERP may be nonmonotonic w.r.t.  $E[I]$  but it does not have any local minima in  $(0, \infty)$

$$w E[T]^\alpha E[P]^\beta = w \left( a_1 + \frac{b_1}{E[I] + c} \right)^\alpha \left( a_2 + \frac{b_2}{E[I] + c} \right)^\beta$$

- Q.E.D.

# Generalizations of Theorem 2

Theorem 2:  
Gebrehiwot et al. (2014)  
For ERWS and generalized ERP  
with generally distributed  
service times and setup delays,  
the optimal policy is either  
NEVEROFF or INSTANTOFF

- **Multiple sleep states**
  - Randomized policies (“choose sleep state randomly”)  
Gandhi et al. (2010) for the exponential case  
Gebrehiwot et al. (2014) for general distributions
  - Sequential policies (“sleep deeper and deeper”)  
Gebrehiwot et al. (2015) for general distributions
- **Idling timer resetting options**
  - Timer / reset only when it expires  
Maccio & Down (2013) for the exponential case  
Gebrehiwot et al. (2014) for general distributions
  - Timer / reset every time an idle period starts  
Gebrehiwot et al. (2014) for general distributions

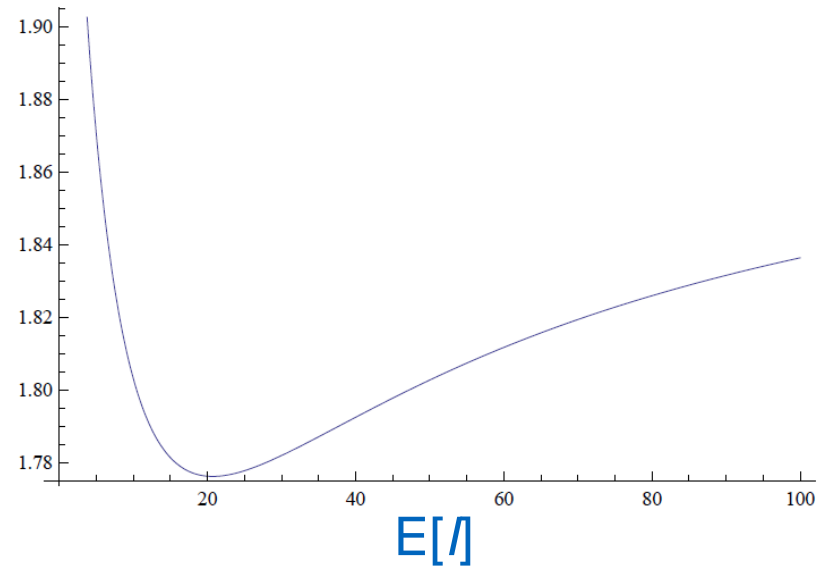
# Counter-example

Counter-example:  
Gebrehiwot et al. (2014)  
For the general form objective  
the result is not necessarily true

- Arrival rate  
 $\lambda = 0.1$
- Exponential service times and deterministic setup delays with  
 $E[S] = D = 1$
- Power consumptions  
 $P_{\text{busy}} = P_{\text{setup}} = 1,$   
 $P_{\text{idle}} = 0.6, P_{\text{sleep}} = 0$
- Following **general form** objective function considered:

$$E[T] + 3E[P]^3$$

- Optimal  $E[I] = 20.723$



# Optimal $k$ -control for the FIFO discipline

- **Theorem 3:**  
Gandhi et al. (2010)  
For **ERP**  
with exponential service times  
and deterministic setup delays,  
the optimal threshold of  
**INSTANTOFF** is  $k^* = 1$

- **Counter-example:**  
Gebrehiwot et al. (2014)  
For more variable service time  
distributions, the result is not  
necessarily true

- **Theorem 4:**  
Gebrehiwot et al. (2015)  
For **ERP**  
with generally distributed  
service times for which

$$C[S] = \sqrt{E[S^2]/E[S]^2} \leq \sqrt{3}$$

- and deterministic setup delays,  
the optimal threshold of  
**INSTANTOFF** is  $k^* = 1$



# Energy-aware M/G/1-PS queue

# Optimal $\lambda$ -control for the PS discipline

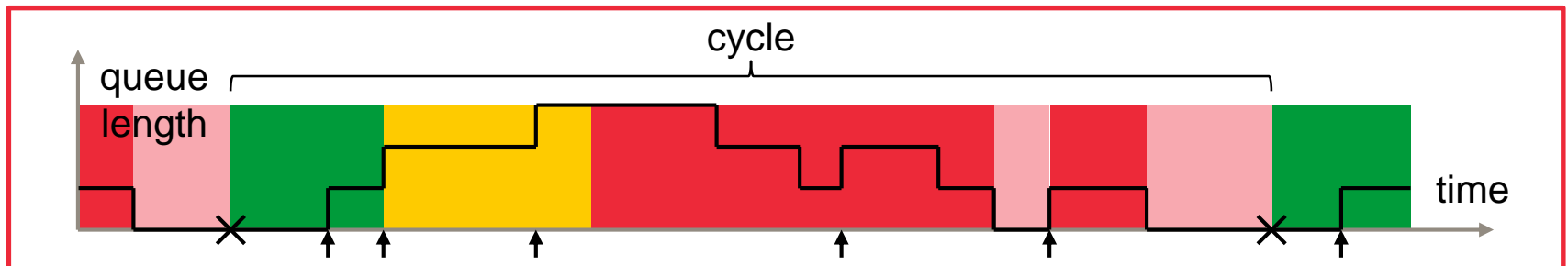
- Theorem 5:  
Gebrehiwot et al. (2016)  
For ERWS and ERP  
with generally distributed  
service times and setup delays,  
the optimal policy is either  
NEVEROFF or INSTANTOFF

# Proof of Theorem 5

**Theorem 5:**  
Gebrehiwot et al. (2016)  
For ERWS and ERP with generally distributed service times and setup delays, the optimal policy is either NEVEROFF or INSTANTOFF

- Analysis (1)
  - Consider again working **cycles** starting whenever the server is switched off
  - Let  $C$  denote the length of one cycle

$$E[C] = \frac{E[I] + \frac{k}{\lambda} + E[D]}{1 - \rho}$$



# Proof of Theorem 5

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Gebrehiwot et al. (2016)  
For ERWS and ERP with generally distributed service times and setup delays, the optimal policy is either NEVEROFF or INSTANTOFF

- Analysis (2)
  - Response time consists of waiting time (from arrival to the beginning of service) and residence time (the rest):  $T = W + R$
  - Consider a test job with service time  $s$

$$E[W] = E[W | S = s] = \frac{1-\rho}{E[I] + \frac{k}{\lambda} + E[D]} \left( \frac{k(k-1)}{2\lambda^2} + \frac{k}{\lambda} E[D] + \frac{1}{2} E[D^2] \right)$$

- Let  $r(s) = E[R | S = s]$ . Then  $r'(s)$  is the unique solution of

$$r'(s) = 1 + 2\lambda E[W] \bar{F}(s) + \lambda \int_0^{\infty} r'(t) \bar{F}(s+t) dt + \lambda \int_0^s r'(t) \bar{F}(s-t) dt$$

# Proof of Theorem 5

**Theorem 5:**  
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For ERWS and ERP with generally distributed service times and setup delays, the optimal policy is either NEVEROFF or INSTANTOFF

- Analysis (3)
  - Let  $g(s) = (r'(s) - 1/(1 - \rho))/(2\lambda E[W])$ . Then  $g(s)$  is the uniq. sol. of

$$g(s) = \bar{F}(s) + \lambda \int_0^{\infty} g(t) \bar{F}(s+t) dt + \lambda \int_0^s g(t) \bar{F}(s-t) dt$$

where

$$\bar{F}(s) = P\{S > s\}$$

- **Observation:**  $g(s)$  depends on the arrival rate  $\lambda$  and the service time distribution  $F(s)$  but not at all on  $E[I]$

# Proof of Theorem 5

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- Analysis (4)

$$E[T] = E[T_{M/G/1-PS}] + \frac{1-\rho}{E[I] + \frac{k}{\lambda} + E[D]} \left( \frac{k(k-1)}{2\lambda^2} + \frac{k}{\lambda} E[D] + \frac{1}{2} E[D^2] \right) \left( 1 + 2\lambda \int_0^{\infty} g(y) \bar{F}(y) dy \right)$$

$$E[P] = E[P_{M/G/1}] + \frac{1-\rho}{E[I] + \frac{k}{\lambda} + E[D]} \left( \frac{k}{\lambda} (P_{\text{sleep}} - P_{\text{idle}}) + E[D] (P_{\text{setup}} - P_{\text{idle}}) \right)$$

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- where  $a_1, a_2, b_1, c > 0$ , but  $b_2$  may be **negative** since  $P_{\text{sleep}} < P_{\text{idle}}$

$$b_2 = (1 - \rho) \left( \frac{k}{\lambda} (P_{\text{sleep}} - P_{\text{idle}}) + E[D] (P_{\text{setup}} - P_{\text{idle}}) \right)$$

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- Optimization (2)
  - objective function for ERWS is clearly monotonic w.r.t.  $E[I]$

$$w_1 E[T] + w_2 E[P] = w_1 a_1 + w_2 a_2 + \frac{w_1 b_1 + w_2 b_2}{E[I] + c}$$

- objective function for ERP may be nonmonotonic w.r.t.  $E[I]$  but it does not have any local minima in  $(0, \infty)$

$$E[T]E[P] = \left( a_1 + \frac{b_1}{E[I] + c} \right) \left( a_2 + \frac{b_2}{E[I] + c} \right)$$

- Q.E.D.



# Generalizations of Theorem 5

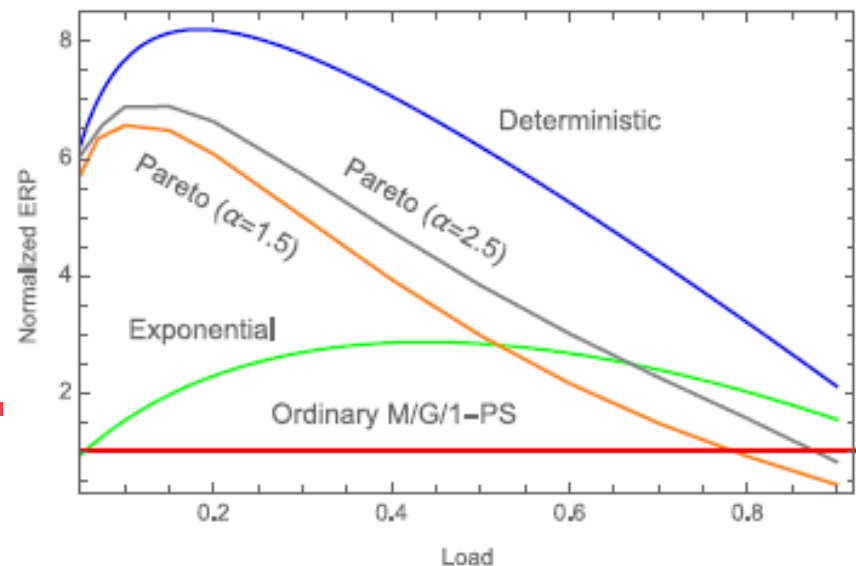
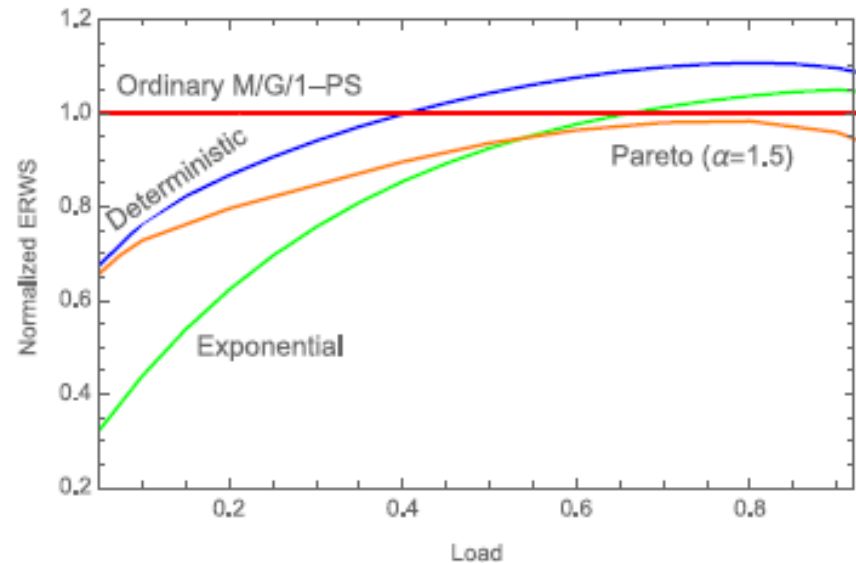
Theorem 5:  
Gebrehiwot et al. (2016)  
For ERWS and ERP with generally distributed service times and setup delays, the optimal policy is either NEVEROFF or INSTANTOFF

- **Batch arrivals**
  - Batch Poisson arrivals with  $k$  referring to the number batches arrived  
Gebrehiwot et al. (2016)
- **Multiple sleep states**
  - Randomized policies (“choose sleep state randomly”)  
Gebrehiwot et al. (2016)
- **Idling timer resetting options**
  - Timer / reset only when it expires  
Gebrehiwot et al. (2016)
  - Timer / reset every time an idle period starts  
Gebrehiwot et al. (2016)
- **Generalized ERP**

# Numerical illustrations

- INSTANTOFF vs NEVEROFF
- Deterministic setup delays with  $D = 10$  s
- Power consumptions  
 $P_{\text{busy}} = P_{\text{setup}} = 200$  W,  
 $P_{\text{idle}} = 120$  W,  $P_{\text{sleep}} = 15$  W
- Different loads and service time distributions considered with fixed mean  $E[S] = 1$  s

• **Observation:**  
Deterministic service times give always the worst results!



# Worst case service time distribution for batch PS queues

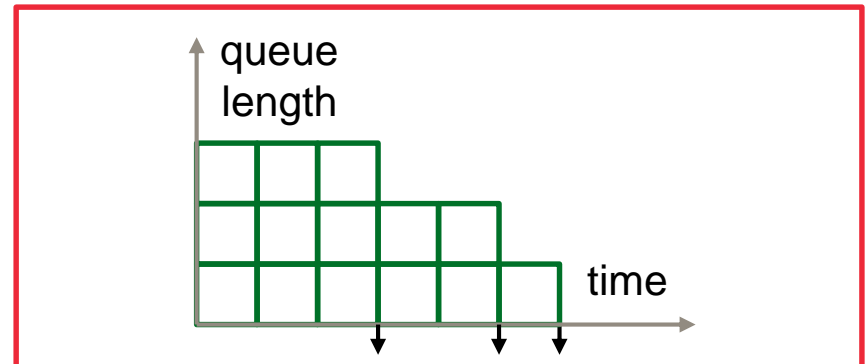
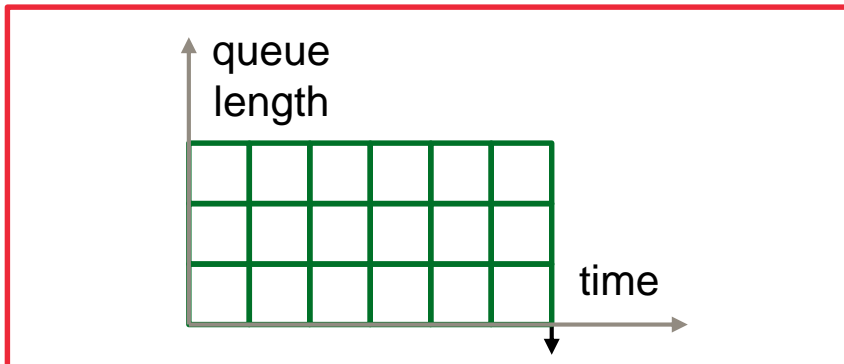
# Batch PS queueing models

- PS queue with multiple jobs in the system when the service starts
  - Energy-aware PS queue with setup delay
  - PS queue with multiple vacations
  - Batch arrival PS queue
  - Two-level MLPS queue (2nd level)
- Note:
  - In the ordinary PS queue there is only one job in the system when the service starts (after an idle period)

# Intuition

- Deterministic service times
  - $S_1 = 2$
  - $S_2 = 2$
  - $S_3 = 2$
  - Average response time: 6.0
  - All jobs leave at the same time

- Variable service times
  - $S_1 = 1$
  - $S_2 = 2$
  - $S_3 = 3$
  - Average response time:  $4.7 < 6.0$
  - Shortest job leaves first



# Worst case service time distribution

- **Theorem 6:**  
(Unpublished)  
For batch PS queues with (batch) Poisson arrivals, deterministic service times give the worst mean response time  $E[T]$  among all service time distributions

- **Conjecture 7:**  
(Unpublished)  
For batch PS queues with (batch) Poisson arrivals, deterministic service times give even the worst **conditional mean** response time  $E[T | S = s]$  among all service time distributions

- **Proof:** Utilizes an upper bound in [Avrachenkov et al. \(2005\)](#)

- **Proposal:** Anybody interested in cooperation to solve the remaining problems in its proof?

# References

- [Avrachenkov, Ayesta & Brown \(2005\)](#)  
Batch arrival processor-sharing with application to multi-level processor-sharing scheduling, *Queueing Systems*
- [Wierman, Andrew & Tang \(2009\)](#)  
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- [Gandhi, Gupta, Harchol-Balter & Kozuch \(2010\)](#)  
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- [Maccio & Down \(2013\)](#)  
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Optimal sleep-state control of energy-aware M/G/1 queues, in *ValueTools*
- [Gebrehiwot, Aalto & Lassila \(2015\)](#)  
Optimal energy-aware control policies for FIFO servers, submitted
- [Gebrehiwot, Aalto & Lassila \(2016\)](#)  
Energy-performance trade-off for processor sharing queues with setup delay, *Operations Research Letters*

# The End